

Affirmative Disclosures

1. General Disclosures

Eureka Stock & Share Broking Services Limited. is an AMFI-registered Mutual Fund Distributor (MFD) operating in full compliance with SEBI (Mutual Funds) Regulations, 1996, and AMFI's Code of Conduct for Mutual Fund Distributors (April 2022).

- AMFI Registration Number (ARN): 77441
- Employee Unique Identification Number (EUIIN): E114247
- Nature of Business: Eureka provides mutual fund distribution services and does not offer investment advisory services. We do not provide any guaranteed returns or portfolio management services.
- Scope of Services: We facilitate mutual fund transactions, investor onboarding, and regulatory compliance while ensuring that investors make informed decisions.

2. Investor Suitability & Risk Disclosures

At Eureka, we ensure that investors understand the risks associated with mutual fund investments.

- Market Risk Statement: Mutual fund investments are subject to market risks, and returns are not assured. Investors must read all scheme-related documents carefully before investing.
- No Past Performance Guarantee: Past performance does not indicate future results, and investments should be made based on individual financial goals and risk appetite.
- SEBI Risk Classification: Eureka ensures that all mutual fund schemes offered comply with SEBI's Risk-O-Meter framework, categorizing funds as:
 - Low Risk – Green
 - Low to Moderate Risk – Chartreuse
 - Moderate Risk – Yellow
 - Moderately High Risk – Caramel
 - High Risk – Orange
 - Very High Risk – Red
- Risk Notifications: Any changes in the risk level of a scheme will be communicated via our website updates, email, and SMS notifications.

3. Expense & Commission Disclosures

Eureka follows transparent pricing and commission disclosure policies to ensure that investors understand mutual fund expenses.

- Expense Ratio Disclosure: Expense ratios of mutual fund schemes are available on our platform. Investors should note that:
 - Direct Plans have lower expense ratios as they do not include distributor commissions.
 - Regular Plans include distributor commissions, affecting the net investor returns.
- Commission Earnings & Transparency: Eureka earns commissions from AMCs only for Regular Plans. A detailed breakdown of commissions for different AMCs is available here: (https://www.eurekasec.com/wp-content/uploads/2025/02/Eureka_Commission-Disclosure.pdf)
- No Direct Plan Dealing: As per SEBI regulations, Eureka does not deal in Direct Plans and does not charge any advisory fees.

4. Data Privacy & Security Disclosures

Eureka is committed to protecting investor data and maintaining strict cybersecurity measures.

Privacy Policy

- Eureka only collects investor data required for regulatory compliance and transaction processing.
- Investor information is never sold, misused, or shared with third parties for marketing purposes.
- Investors can request access, modification, or deletion of their data by contacting us at:
Email : eurekamf@eurekasec.com | Tell : (033) 6628 0000 (Ext: 220)

Third-Party Data Sharing

- Investor data is only shared with SEBI, AMCs, RTAs, banks, and regulatory authorities as required by law.
- Eureka does not share investor data with unauthorized third parties or marketing agencies.
- Any third-party vendors working with Eureka must comply with strict data protection agreements (DPA).

Cybersecurity & Fraud Prevention






Eureka follows advanced cyber security protocols to protect investor information, including:

- AES-256 Encryption for data storage and transactions.
- Multi-Factor Authentication (MFA) for secure logins.
- AI-driven fraud detection systems to monitor suspicious transactions.
- Annual security audits and penetration testing to maintain data protection compliance.

Investment in securities market are subject to market risks, read all the related documents carefully before investing. Infinity by Eureka is a registered brand of Eureka Stock & Share Broking Services Ltd. is an ISO 9001:2015 certified company (certificate no: IND.20.6217/QM/U). SEBI Single Registration Number : INZ000169839.

For further details please visit 'www.eurekasec.com'. To explore Infinity, visit 'infinity.eurekasec.com'. T&C's Apply.

Regd. Office Address: 1101, Merlin Infinite, DN 51, Salt Lake City, Sector 5, Kolkata 700091

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Breach Notification & Investor Protection

- In case of a data breach or cyberattack, affected investors will be notified within 24 hours.
- Any unauthorized transactions due to fraud will be investigated immediately, and Eureka will take necessary legal action.
- Investors can report suspicious activities to our Compliance Officer at:
debomita@eurekasec.com or call us directly at (033) 6628-0000 (Ext: 131)

5. Ethical & Compliance-Related Disclosures

Eureka strictly adheres to SEBI and AMFI's Code of Conduct for Mutual Fund Distributors and ensures ethical business practices.

Conflict of Interest Compliance

- Eureka does not favour any specific AMC or mutual fund scheme for financial gain.
- All commissions and incentives are transparently disclosed to investors.

Code of Conduct & Prohibited Practices

Eureka does not engage in:

- Churning of investments – No unnecessary buy/sell transactions to increase commissions.
- Misrepresentation of fund performance – No false or misleading claims about returns.
- Inducements – No cash back, gifts, or incentives to attract investors.

Eureka promotes fair business practices and ethical sales conduct, ensuring investors make informed decisions based on facts.

6. Investor Grievance Redressal

Eureka has a robust investor grievance mechanism to address complaints efficiently.

Click the link to view the [Complaint Resolution Mechanism](https://www.eurekasec.com/wp-content/uploads/2024/10/Step-by-step-procedures-for-filing-a-Complaint.pdf):

(<https://www.eurekasec.com/wp-content/uploads/2024/10/Step-by-step-procedures-for-filing-a-Complaint.pdf>)

Resolution Timeline: Complaints will be addressed within 7-10 working days.

Escalation Procedure

- If a complaint remains unresolved, investors can escalate the issue to the relevant AMC or AMFI's Investor Helpline.
- Further escalation can be made to SEBI through the SCORES portal (<https://scores.sebi.gov.in/>).

Eureka ensures timely resolution of investor concerns and maintains a transparent redressal process.

7. Marketing & Advertisement Disclosures

Eureka strictly adheres to SEBI and AMFI guidelines for marketing and promotional materials.

Use of AMFI-Approved Marketing Material

- All advertisements, brochures, and website content comply with SEBI guidelines to prevent misleading claims.
- No marketing material will contain unverified performance claims, assured return statements, or exaggerated financial projections.

Branding & Compliance

- Eureka does not use misleading terms such as Financial Advisor, Investment Consultant, or Wealth Manager unless officially registered under SEBI (Investment Advisers) Regulations.
- Eureka will always display its official designation as:
- "AMFI-registered Mutual Fund Distributor (ARN 77441)" on all business materials, website, and promotional content.

Final Statement of Compliance

Eureka Stock & Share Broking Services Limited is fully compliant with SEBI, AMFI, and all regulatory obligations governing mutual fund distribution.

By maintaining strict ethical standards, data privacy safeguards, and transparent commission structures, Eureka ensures that investors receive accurate, fair, and legally compliant services.

For further information, please visit us at www.eurekasec.com or contact us at:


Phone Number	Email Address
(033) 6628 0000	care@eurekasec.com / eurekamf@eurekasec.com

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